

Use of hyperspectral infra-red observations in reanalyses

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ACKNOWLEDGMENTS TO

NASA NSSDC FOR RESCUING TAPES FROM NIMBUS-4 IRIS

PASCAL BRUNEL FOR COMPUTING NEW RTTOV COEFFICIENTS FOR AN OLD INSTRUMENT

HANS HERBACH FOR HIS ALL-PURPOSE VISUALIZATION TOOL & INTERPOLATION PROGRAM

QIFENG LU FOR A VISIT IN JANUARY 2013 DURING WHICH WE COLLABORATED TO IMPLEMENT

A FAST SATELLITE SIMULATOR, A MODIFIED VERSION OF WHICH I USED IN THIS TALK

Outline

- 1. Global atmospheric reanalyses**
- 2. Use and impact of sounder data in reanalyses**
- 3. The special case of hyperspectral infrared data**
- 4. Historical hyperspectral infrared observations**
- 5. Conclusions**

The three pillars of Geosciences



- 100+ years of observations
- Meteorology and climate “big data”

- Predictability & variability
- Grasp uncertainties
- State-of-the-art data services
- Thousands of users

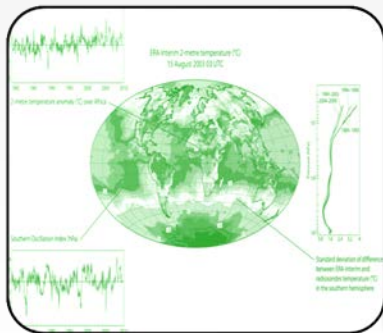
Observations

Reanalysis

Understanding & Applications

Models

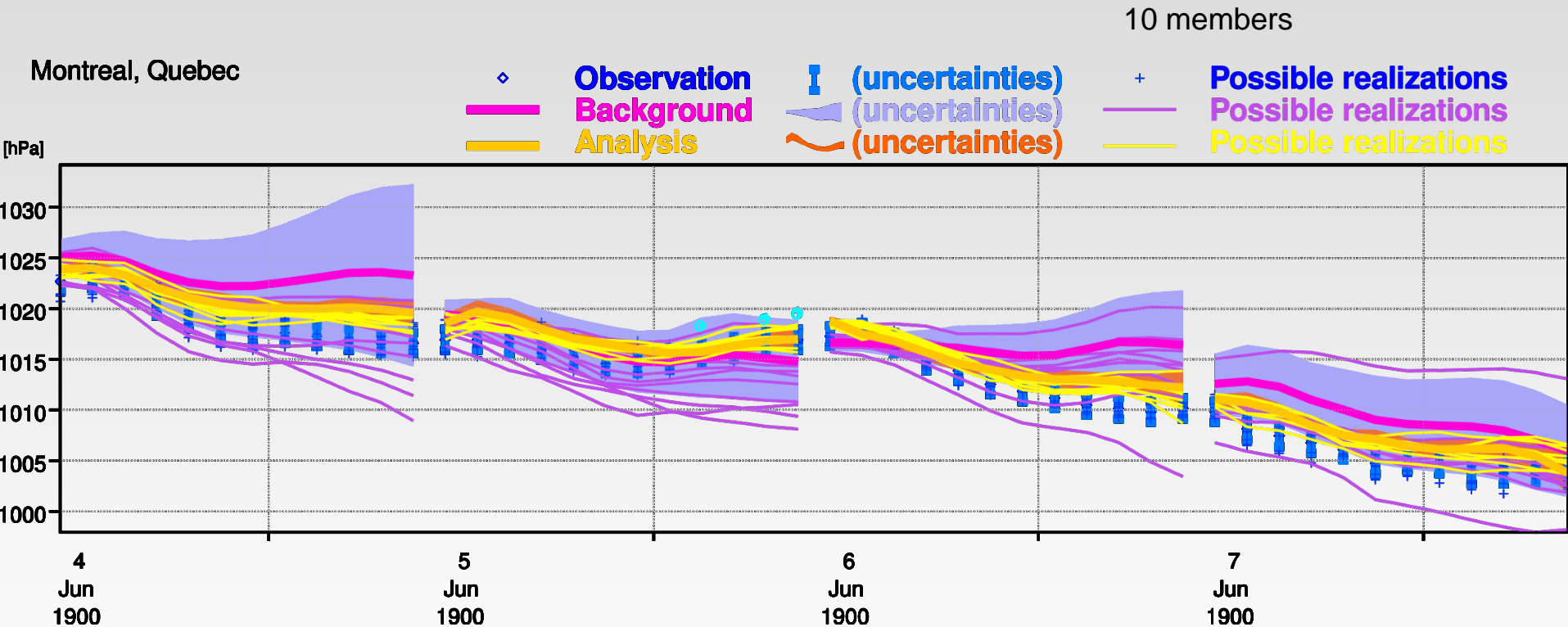
- State-of-the-art numerical schemes representing physical laws and uncertainties
- Tie geophysical variables, enforce balance & conservation



$$J(\mathbf{x}) = (\mathbf{x}_b - \mathbf{x})^T \mathbf{B}^{-1} (\mathbf{x}_b - \mathbf{x}) + [\mathbf{y} - \mathbf{h}(\mathbf{x})]^T \mathbf{R}^{-1} [\mathbf{y} - \mathbf{h}(\mathbf{x})]$$

Dealing with an uncertain past

Traditional reanalysis approach reconstructs the weather history in a forward-propagating way



Observation feedback from ERA-20C 10-member ensemble of 4DVAR

Note: This observation feedback is accessible on ECMWF MARS archive

Variational data assimilation, *as used by NWP & Reanalysis*

For each analysis, define a cost function & find its minimum:

$$\mathbf{J}(\mathbf{x}, \boldsymbol{\beta}) =$$

$$(\mathbf{x}_b - \mathbf{x})^T \cdot \mathbf{B}_x^{-1} \cdot (\mathbf{x}_b - \mathbf{x}) +$$

$$(\boldsymbol{\beta}_b - \boldsymbol{\beta})^T \cdot \mathbf{B}_\beta^{-1} \cdot (\boldsymbol{\beta}_b - \boldsymbol{\beta}) +$$

$$\begin{bmatrix} \mathbf{y}^0 - h(M(\mathbf{x})) - b(M(\mathbf{x}), \boldsymbol{\beta}) \\ \mathbf{y}^0 - h(M(\mathbf{x})) - b(M(\mathbf{x}), \boldsymbol{\beta}) \end{bmatrix}^T \cdot \mathbf{R}^{-1} \cdot$$

Constraints: must fit:
 State prior estimates
 Bias correction prior estimates
 Observations

Statistical models of uncertainties:
Error covariances of:

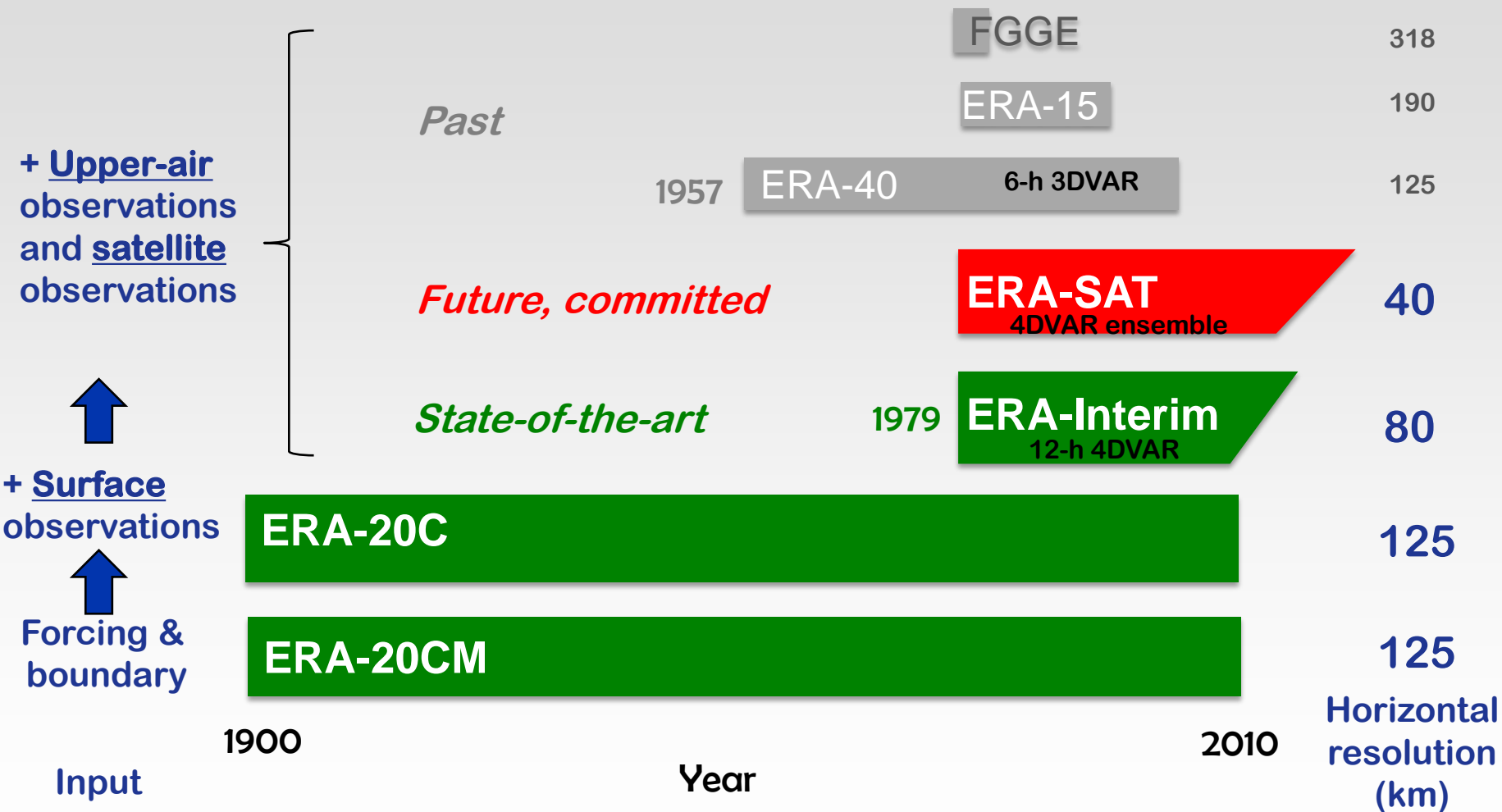
R = observation
 B_β = observation bias prior estimate
 B_x = state prior estimate

This produces the “most probable”
atmospheric state *

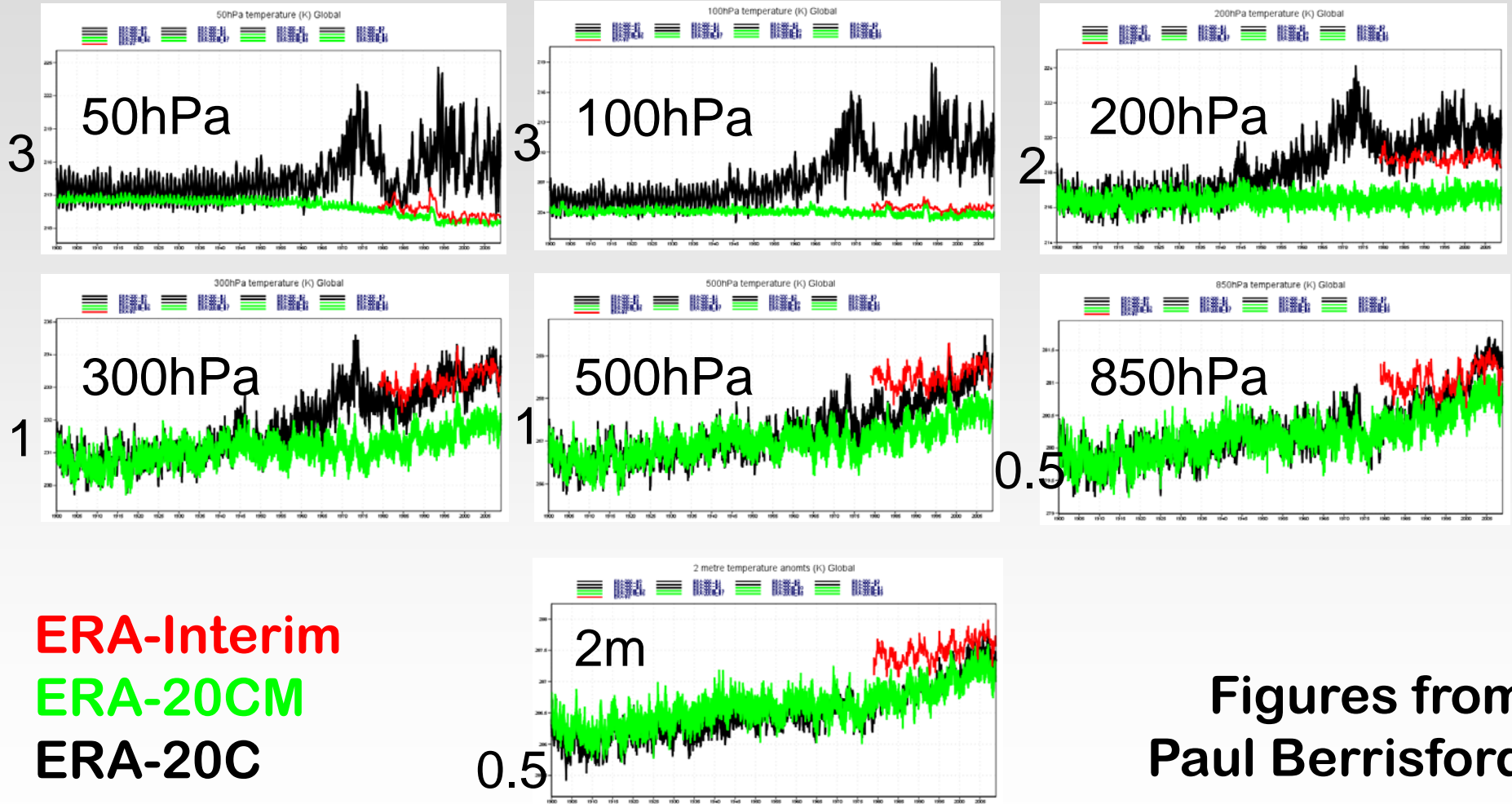
* In a maximum-likelihood sense, which is equivalent to the minimum variance, provided that **background and observation errors are Gaussian, unbiased, uncorrelated with each other**; all error covariances are correctly specified; model errors are negligible within the analysis window

Models of the physics of the atmospheric and instruments:
 M = simulates state evolution
 h = simulates observations
 b = simulates observation biases

ECMWF atmospheric reanalyses



1900-2008 temperature trends from reanalyses



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Reanalyses use the widest variety of observations

Observations used in ERA-Interim 1979-2012

Radiance

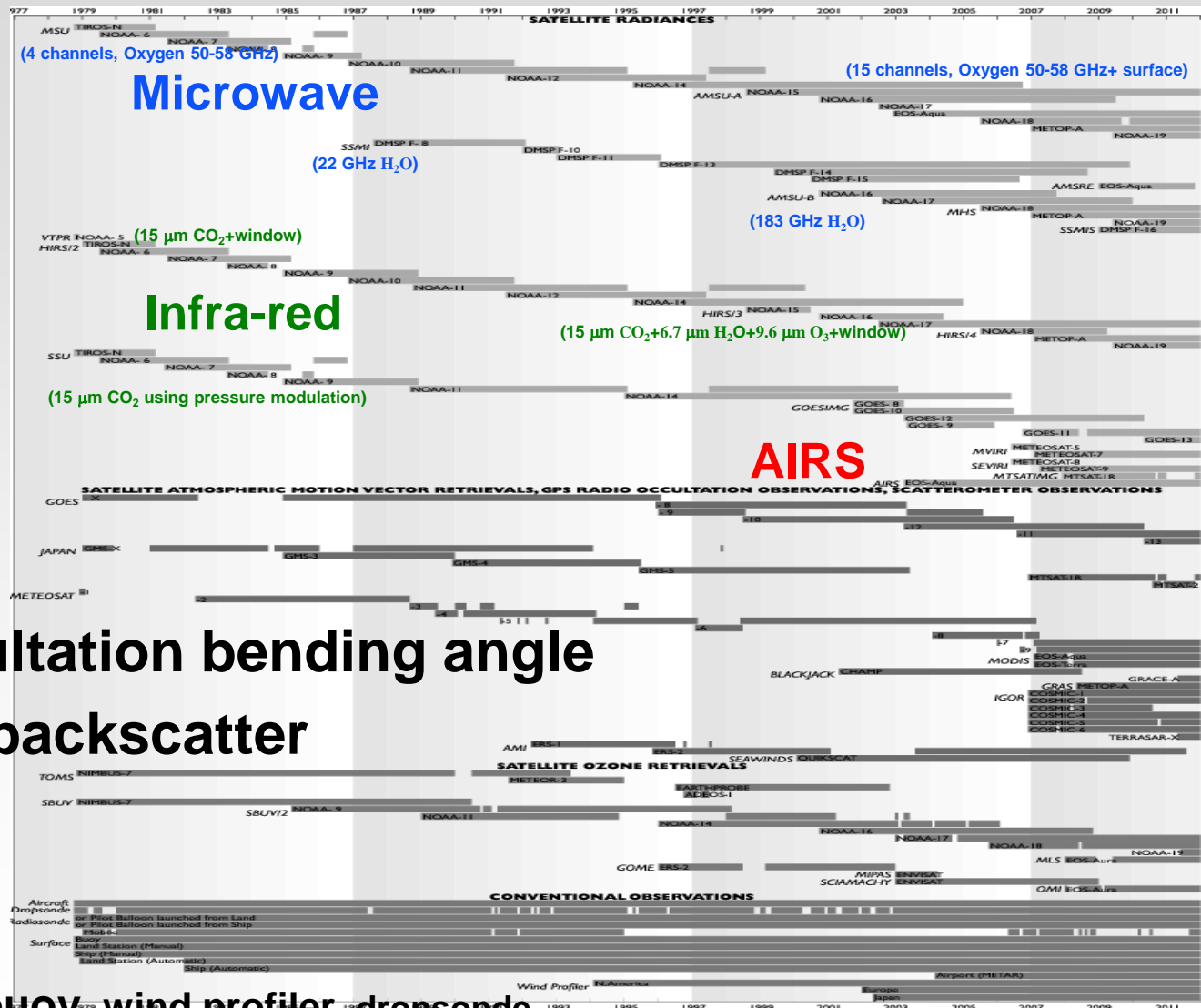
Atmospheric motion vector

GPS radio occultation bending angle

Scatterometer backscatter

Ozone

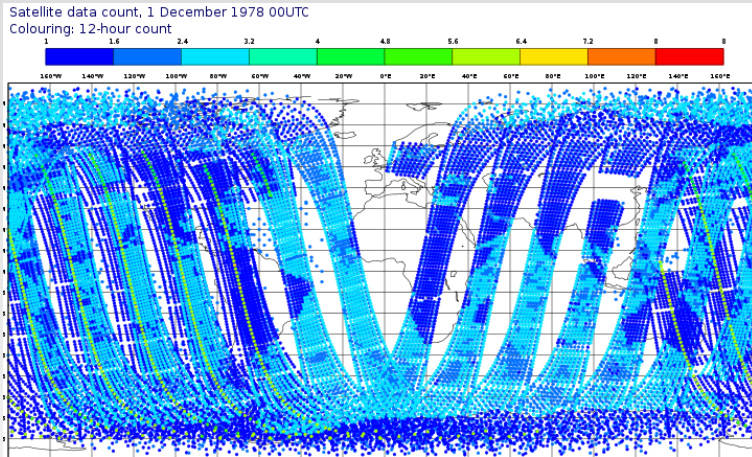
Radiosonde, aircraft, station, buoy, wind profiler, dropsonde



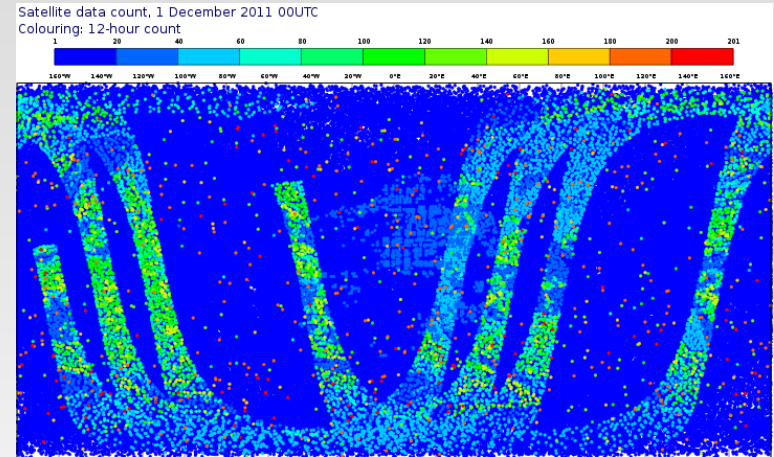
Satellite data input to atmospheric reanalysis

Number of satellite data used, 1 deg x 1 deg 12-hour count

1 Dec 1978, 00UTC

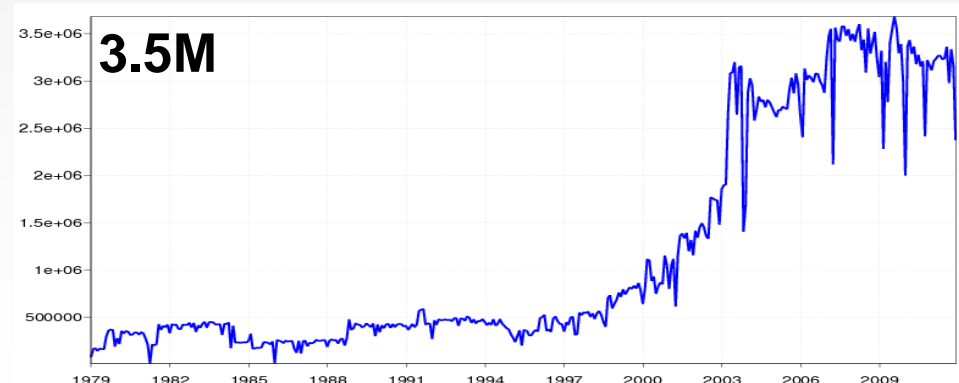
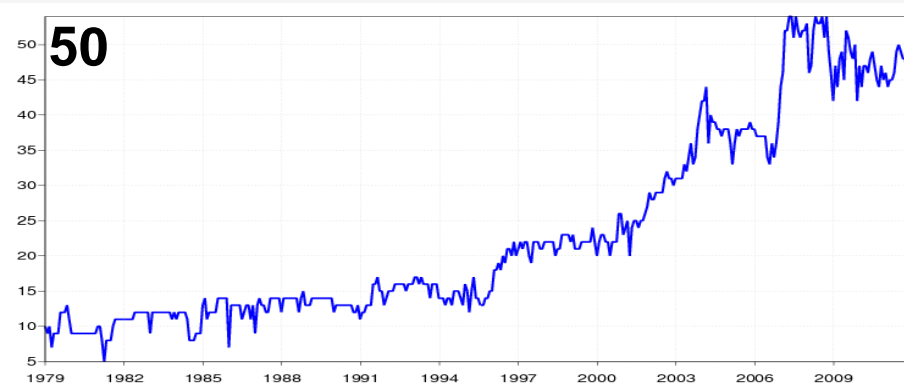


1 Dec 2011, 00UTC



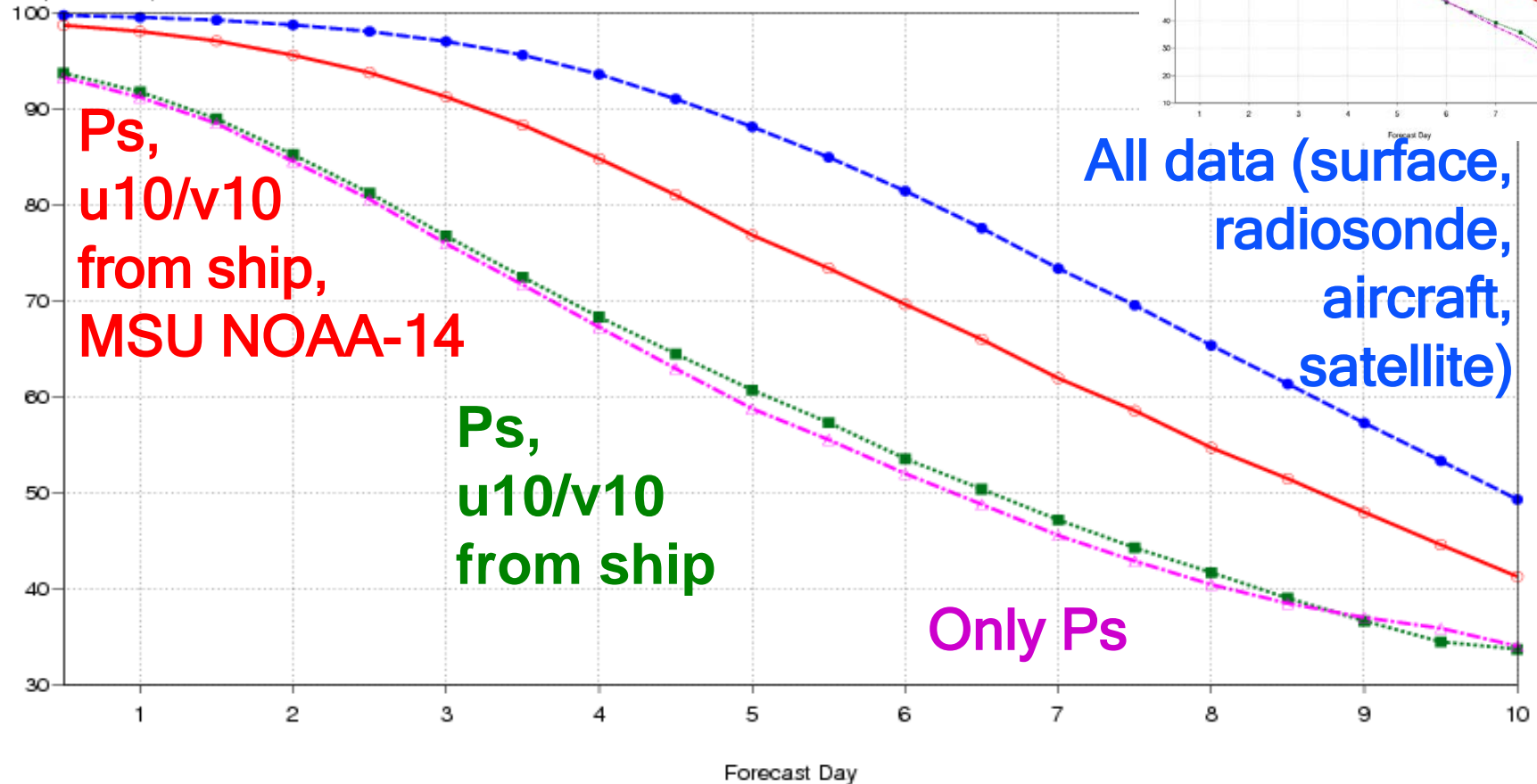
Number of satellite sensors/ AMV retrieval types

Number of observations every 12 hours



Impact of a 4-channel microwave sounder NOAA-14 MSU

500hPa geopotential
 Anomaly correlation
 NHem Extratropics (lat 20.0 to 90.0, lon -180.0 to 180.0)
 Date: 20040701 00UTC to 20040731 00UTC
 el oper 00UTC | Mean method: fair

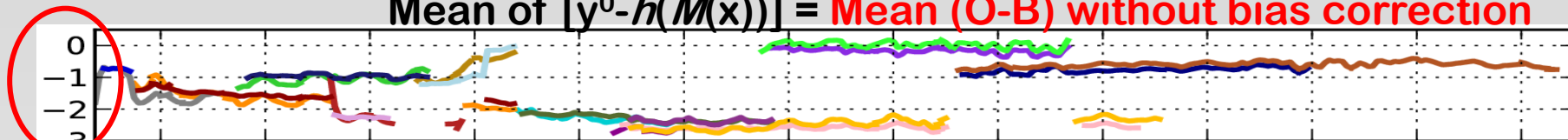


MSU channel 3 minus ERA-Interim: 1979-2006

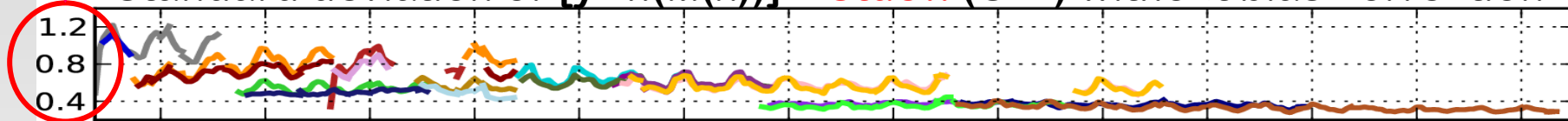
$h = \text{RTT0V8}$ at the time and location of obs.

Global monthly statistics in K, color-coded by NOAA satellite

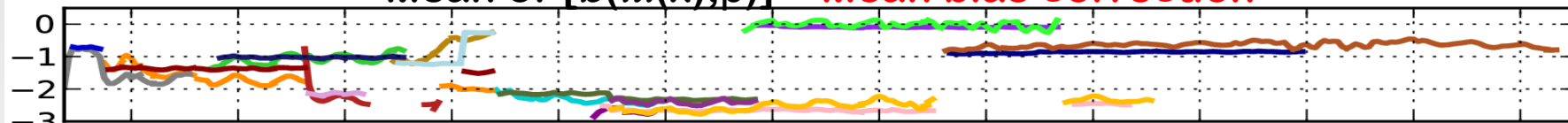
Mean of $[y^0 - h(M(x))]$ = Mean (O-B) without bias correction



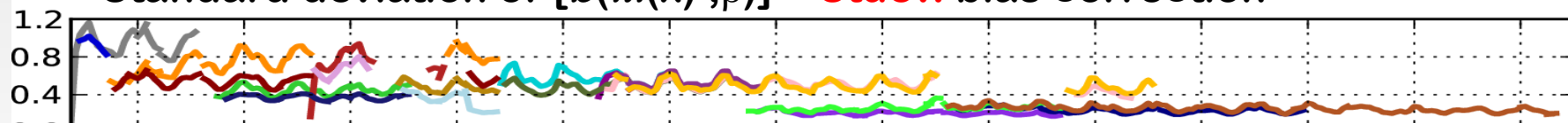
Standard deviation of $[y^0 - h(M(x))]$ = Stdev. (O-B) without bias correction



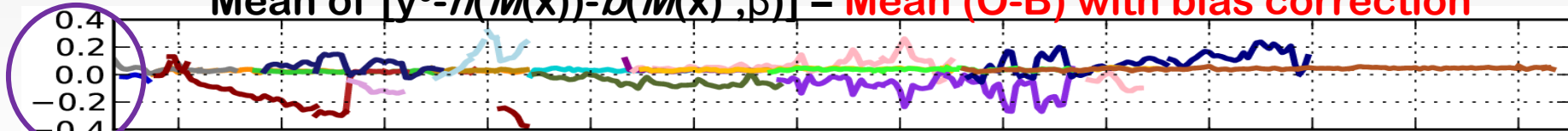
Mean of $[b(M(x), \beta)]$ = Mean bias correction



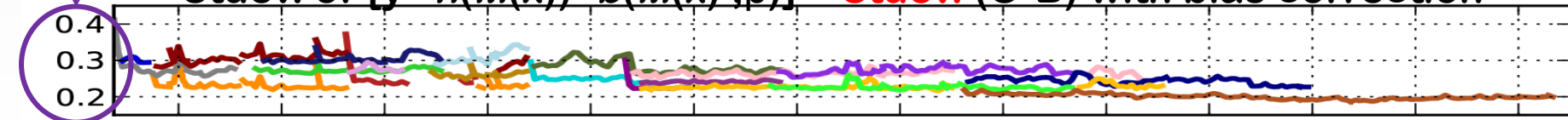
Standard deviation of $[b(M(x), \beta)]$ = Stdev. bias correction



Mean of $[y^0 - h(M(x)) - b(M(x), \beta)]$ = Mean (O-B) with bias correction

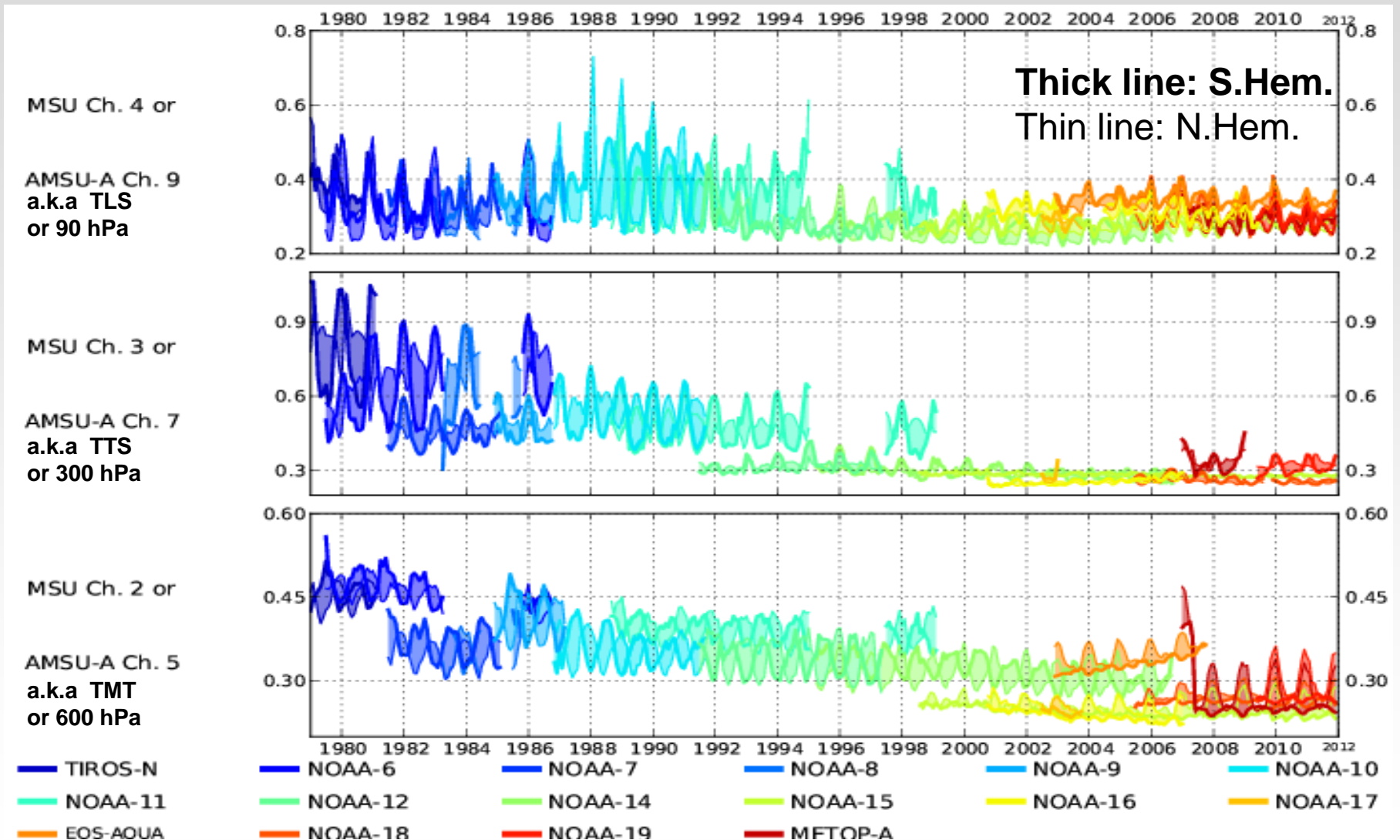


Stdev. of $[y^0 - h(M(x)) - b(M(x), \beta)]$ = Stdev. (O-B) with bias correction



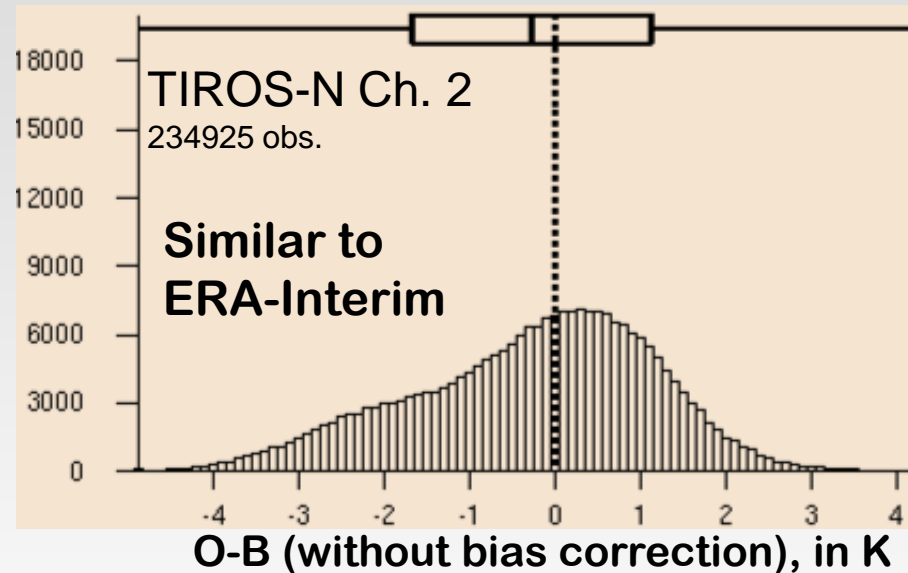
33 years of passive microwave vertical sounding

Stdev(O-B), without bias correction (in K)

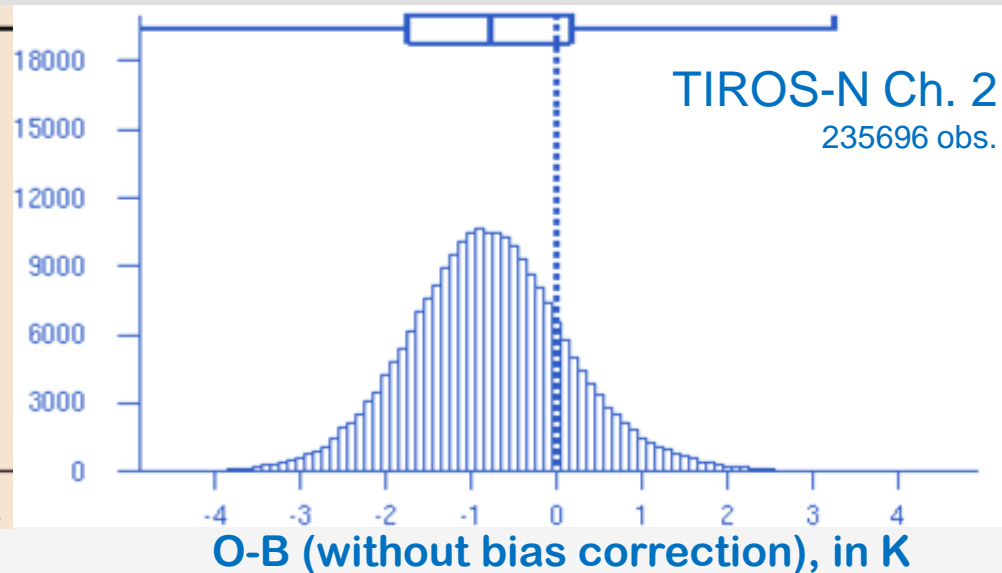


Impact of improving the radiative transfer model

OLD



NEW



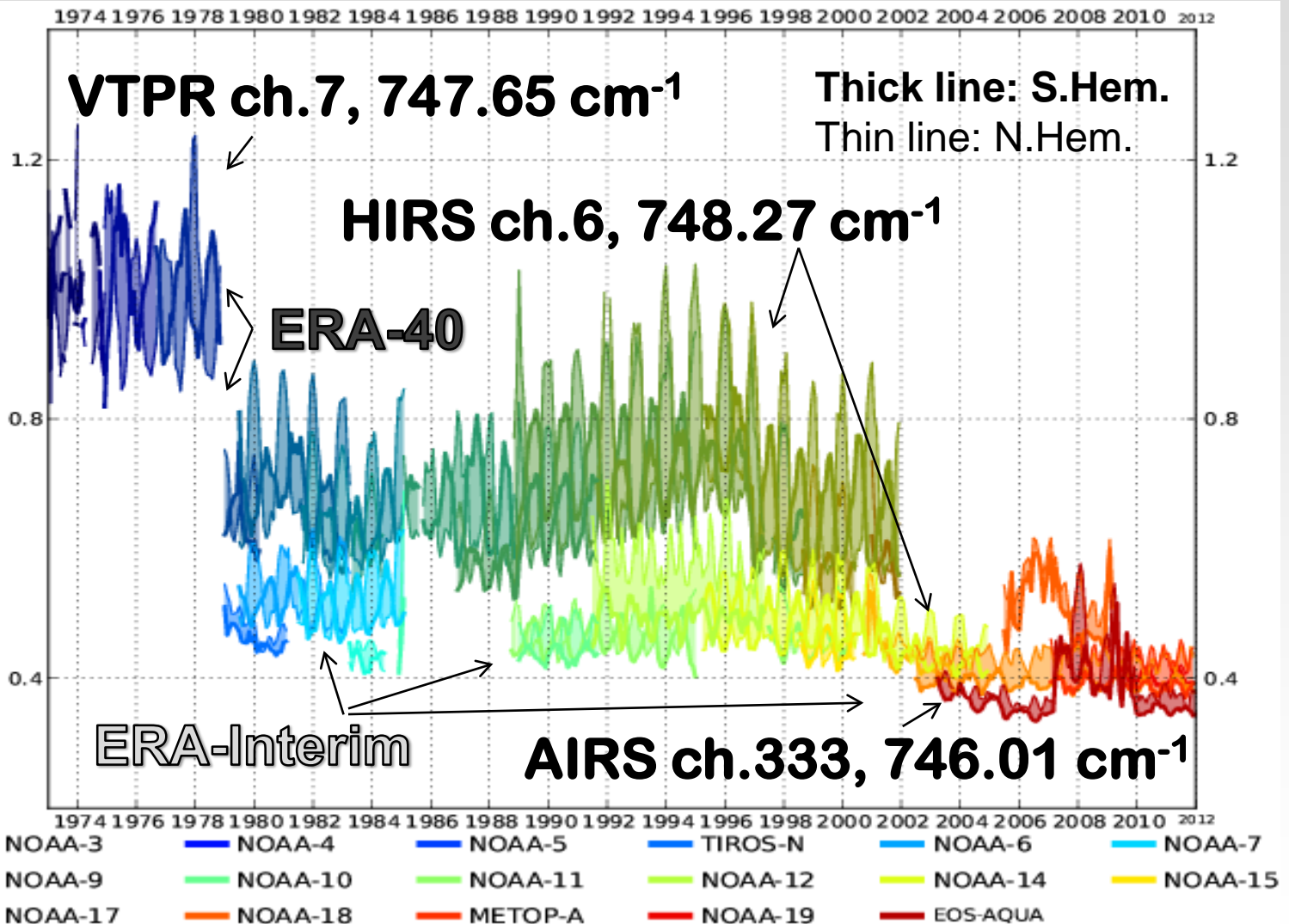
DIFFERENCES:

1. Spectroscopy: Hitran 1978 → Hitran 2004
2. CO₂ profile now used as predictor
3. SSU cell pressure better characterized

Full assimilation run, 1 Feb 1981 – 17 Mar 1981, ECMWF IFS CY36R4, NWP-SAF RTTOV-10

39 years of observations $\sim 747 \text{ cm}^{-1}$ compared with ERA-40 and ERA-Interim

Stdev(O-B),
without bias
correction,
in K



Outline

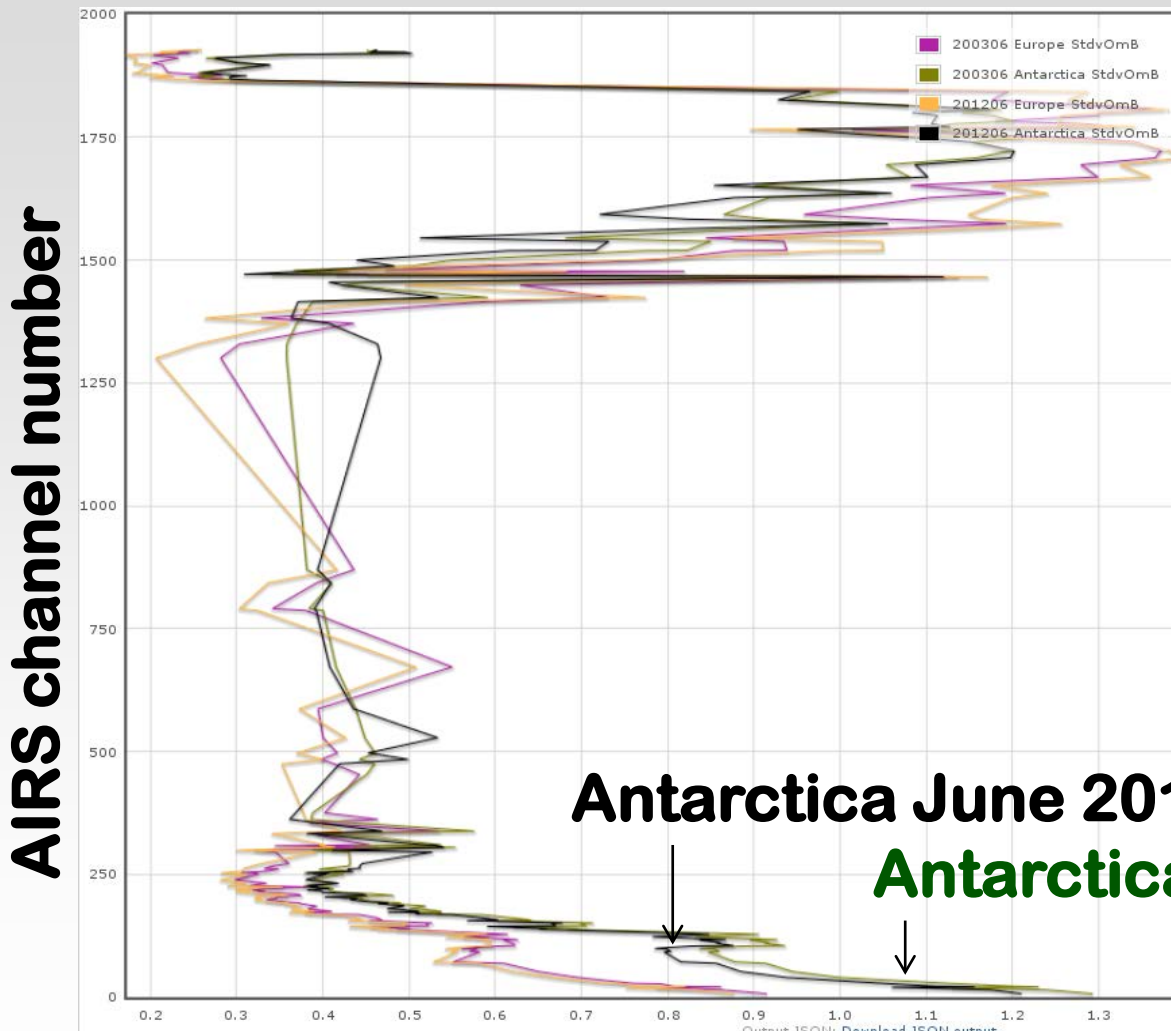
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Hyperspectral infrared assimilation in modern reanalyses

Percentage of channels assimilated

Satellite Instrument	Time period	NCEP CFSR	NASA MERRA	JMA JRA-25	JMA JRA-55	ECMWF ERA-Interim	MACC
EOS-Aqua AIRS	2002-present	5% (121/2378)	5% (121/2378)	No	No	6% (156/2378)	8% (185/2378)
MetOp-A IASI	2006-present	2% (165/8461)	No	No	No	No	2% (175/8461)
MetOp-B IASI	2012-present	No	No	No	No	No	No
Suomi NPP CrIS	2011-present	No	No	No	No	No	No

ERA-Interim use of AIRS: as in ECMWF OPS in 2006



Europe June 2003
Europe June 2012

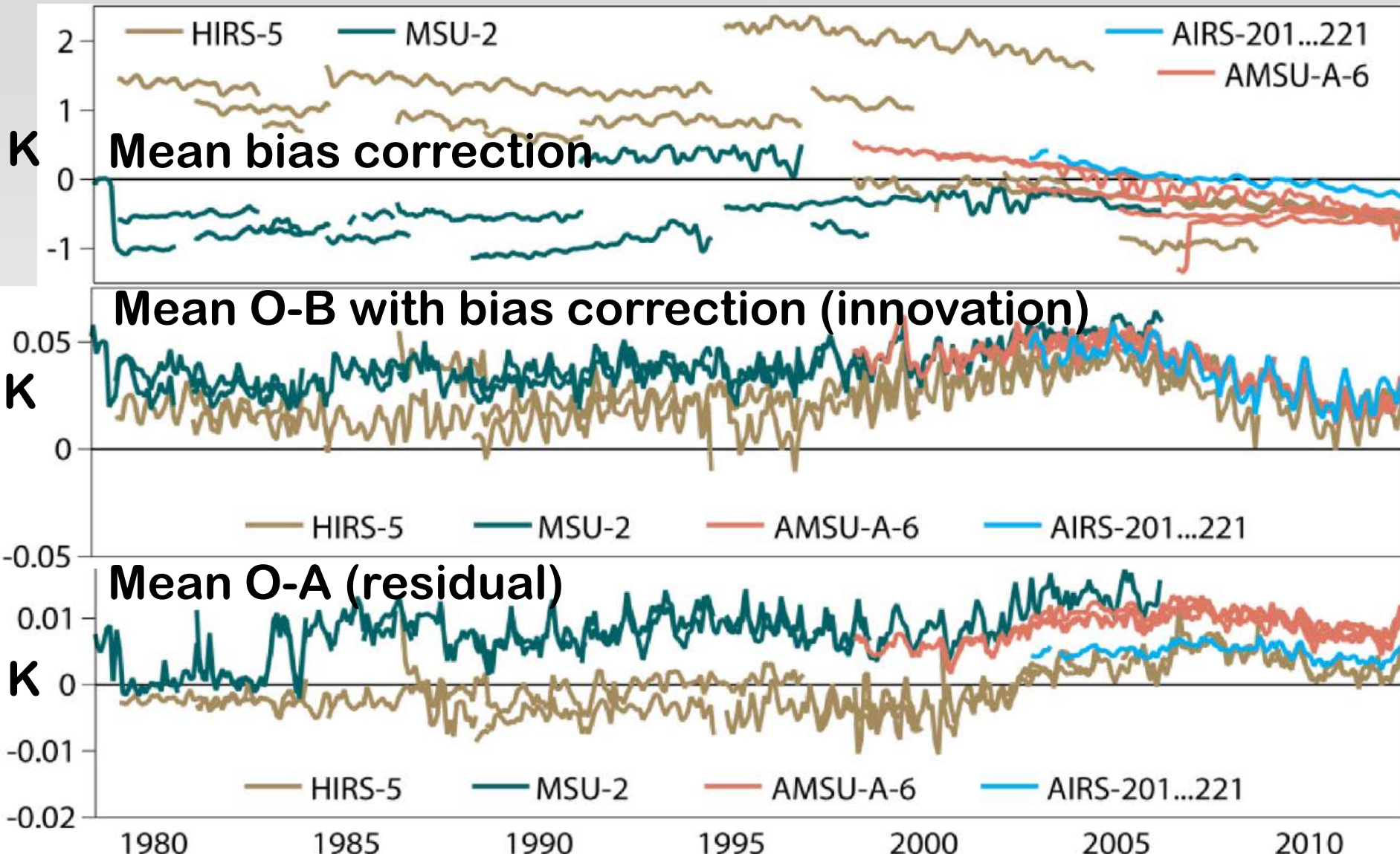
6.7 μm H₂O

15 μm CO₂

Stdev(O-B) with b.corr.

Output JSON: [Download JSON output](#)
Query time: 2,44s
Query command: DROP TABLE IF EXISTS sch_stats5.tempdateti

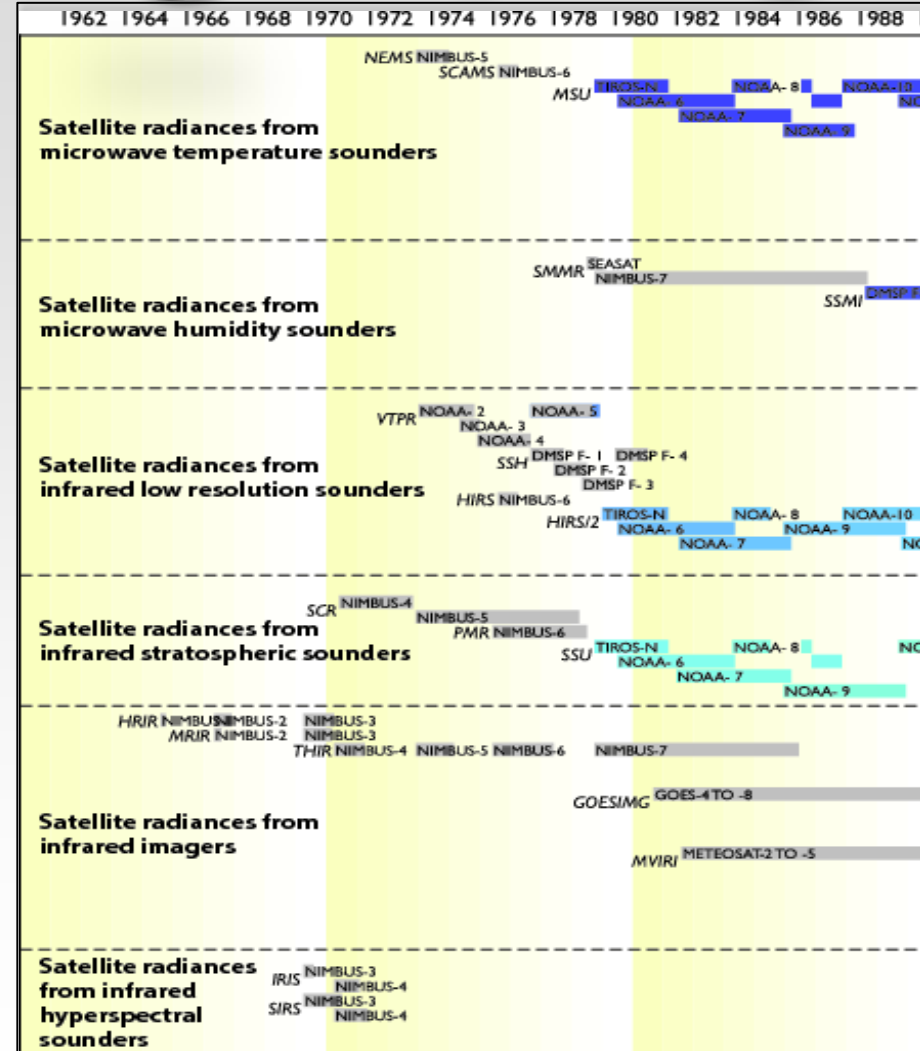
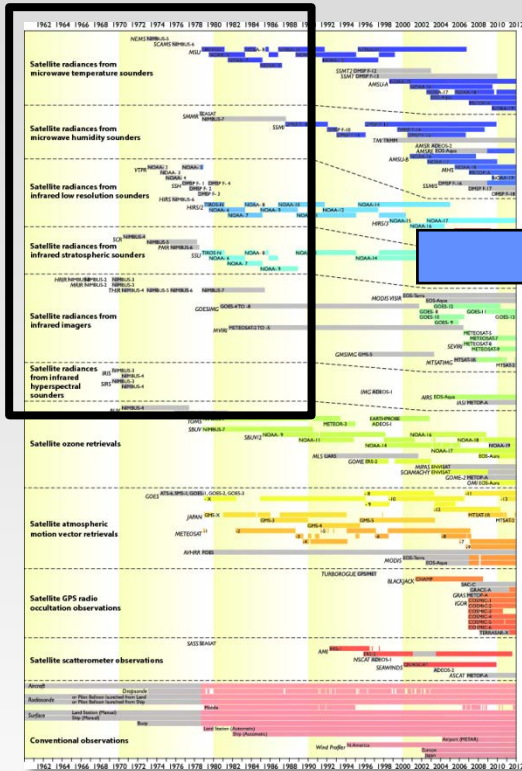
Tropospheric AIRS monthly time-series



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Satellite data since the 1960s



More details about these early instruments, though without the present visuals, can be found in the ERA-CLIM deliverable “Satellite Datasets for use in ERA-CLIM” by Roger Saunders, Paul Poli, Viju John and Graeme Kelly (2011)

Historical interferometers in space

Instrument	Satellite & Agency	Time period	Viewing geometry	Resolution (cm ⁻¹)	Spectral domain (cm ⁻¹)
IRIS-B	Nimbus-3 NASA	April 1969— July 1969	Nadir (no cross-scanning)	5.000	500—2000
IRIS-D	Nimbus-4 NASA	April 1970— January 1971	Nadir (no cross-scanning)	2.800	400—1600
IMG	ADEOS-1 JAXA	August 1996— June 1997	Nadir (no cross-scanning)	0.100	700—2000, 2000—2500, 2500—3000
MIPAS	Envisat ESA	July 2002— April 2012	Limb	0.035	685—970
ACE-FTS	Scisat-1 CSA	August 2003— present (as of Oct. 2013)	Limb	0.020	750—4400

Versions flew later on planetary explorers: Mariner-9, Voyager-1, Voyager-2

Nimbus-4 IRIS: 862 channels

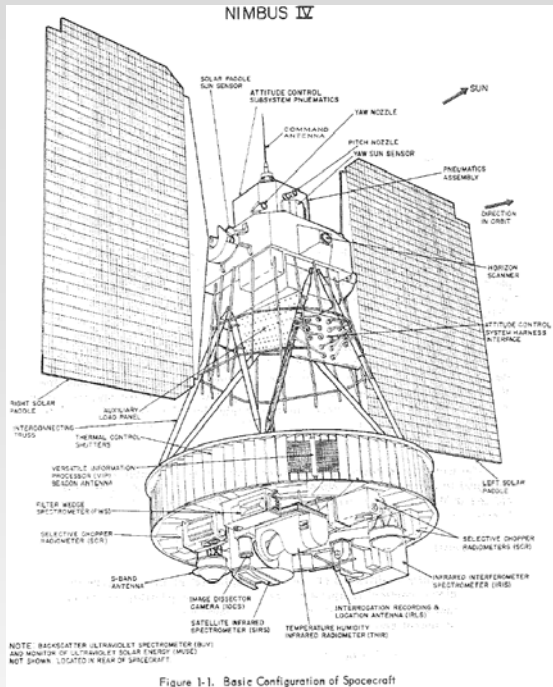


Figure 1-1. Basic Configuration of Spacecraft

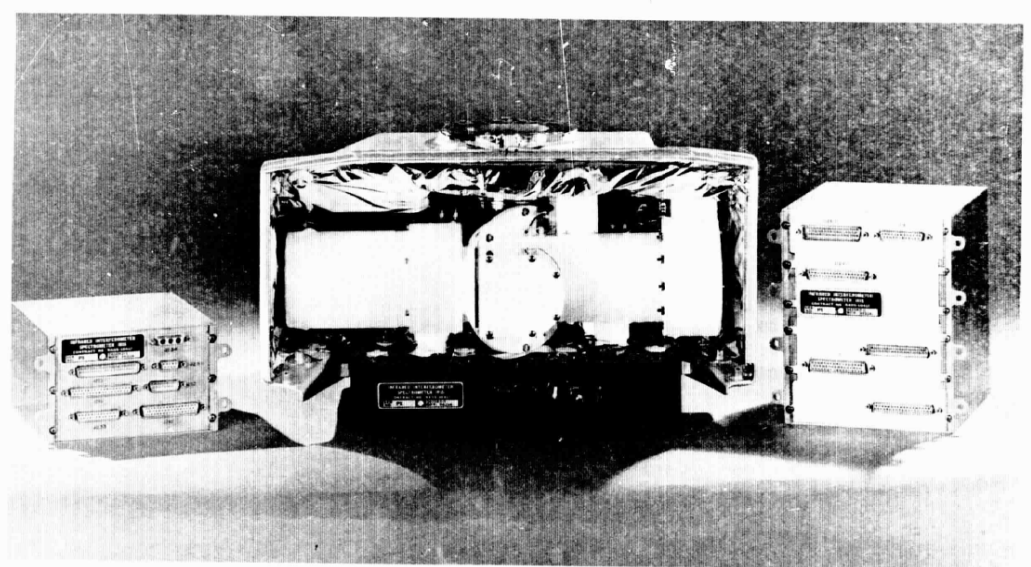


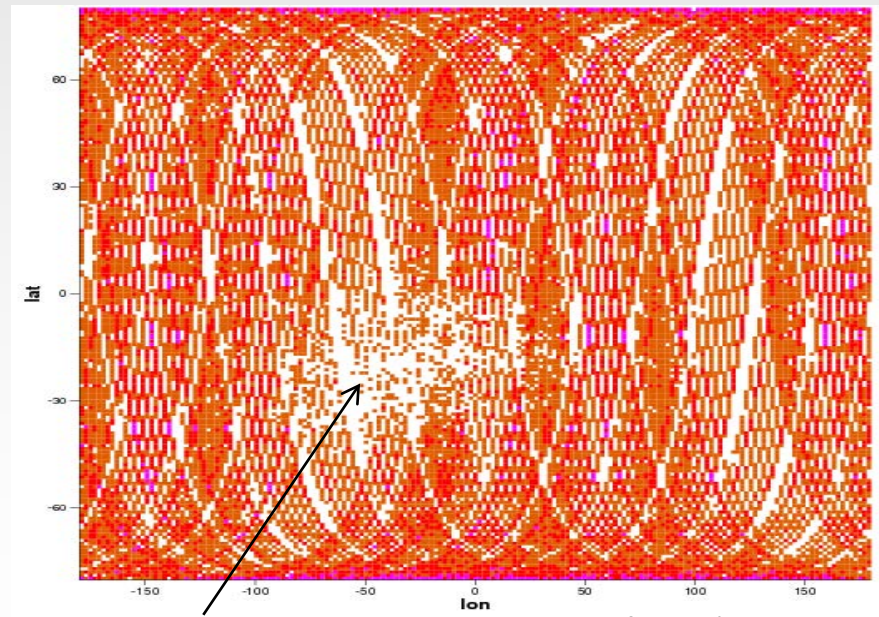
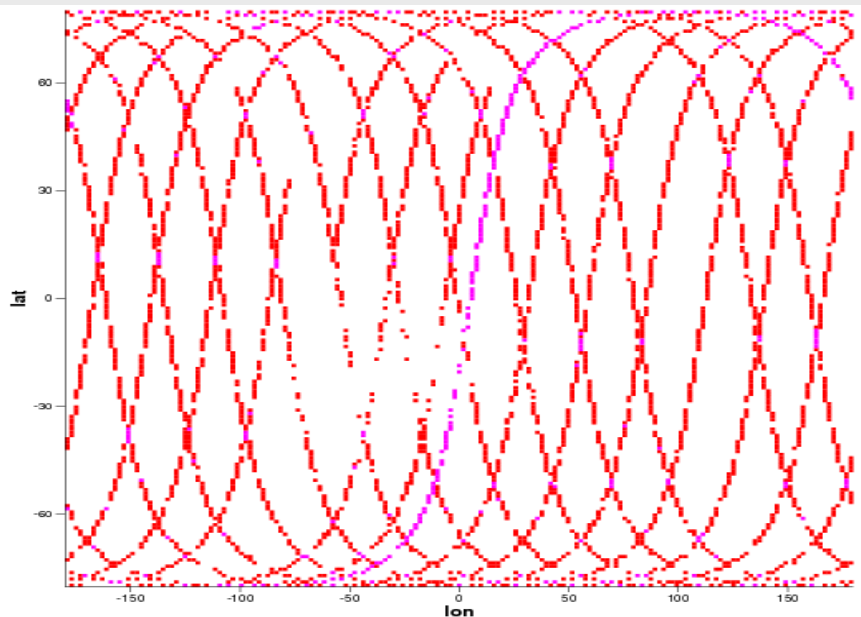
Figure 1. The infrared interferometer (IRIS-D) on Nimbus 4 consists of an optical module, shown enclosed by a thermal shroud in the center of the figure, and of two modules which contain electronic circuitry. The optical module is mounted below the Nimbus sensory ring (not shown), so that the port visible on top of the shroud views earth. The electronic modules fit into compartments within the sensory ring. The maximum dimension of the shroud across the exposed opening is 44 cm.



- Plenty of imaged documents on the web, even some fairly recent papers
- Unfortunately the experimenters are not necessarily longer available to take questions
- Data were rescued from ageing tapes recently by NASA, and are now available on the NSSDC website <http://nssdc.gsfc.nasa.gov>

First step: Read the data

- Awkward / ancient file formats.
Nearly makes you wish for BUFR, though note quite.
- Read the data with some Fortran
- Convert to brightness temperatures & usual date format
- Import into ECMWF ODB-2 format the following information:
date, time, lat, lon, orbit no., profile no., channel no., wavenumber, radiance, b.t.



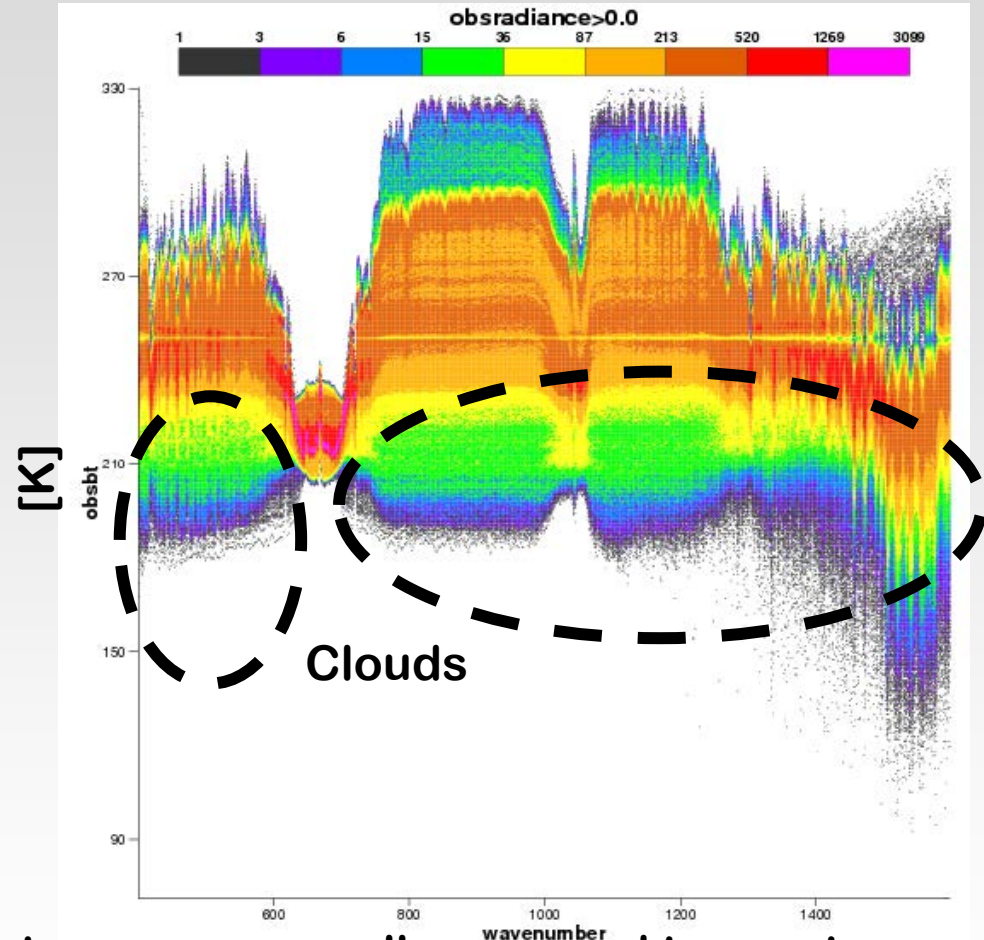
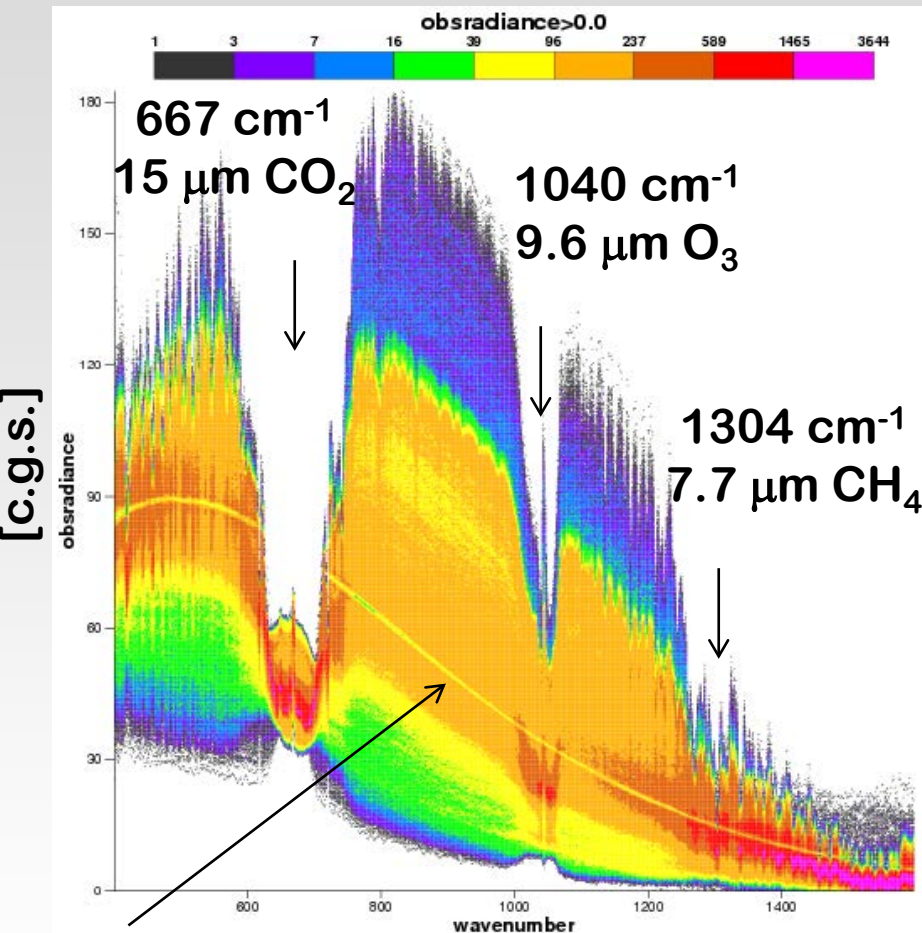
Map of soundings for 14 April 1970

South Atlantic Anomaly?

9-30 April 1970

Second step: Visualize the observations

All spectra found in the radiance files, 9-30 April 1970



From documentation: calibration spectra were manually removed to create this radiance dataset – some actual good spectra were wrongly removed?
→ Will need to go back to the actual raw data, also thankfully recovered.

Third step: Compare with model calculations

ERA-40 field extraction

Acquire 6-hourly 3D fields of T,q,O₃ and 2D fields of surface parameters



For each observation

Interpolate horizontally the reanalysis fields to observation location, taking the nearest field in time



Call RTTOV10 with interpolated profile of T,q,O₃ and surface parameters

Specifying we want radiances simulated for IASI



Re-sample high spectral resolution output to IRIS lower spectral resolution

For each IRIS channel in the IASI spectral range ($\geq 645\text{cm}^{-1}$), find all the IASI channels (resol 0.25 cm^{-1}) falling within $\pm 1.39\text{ cm}^{-1}$ and average



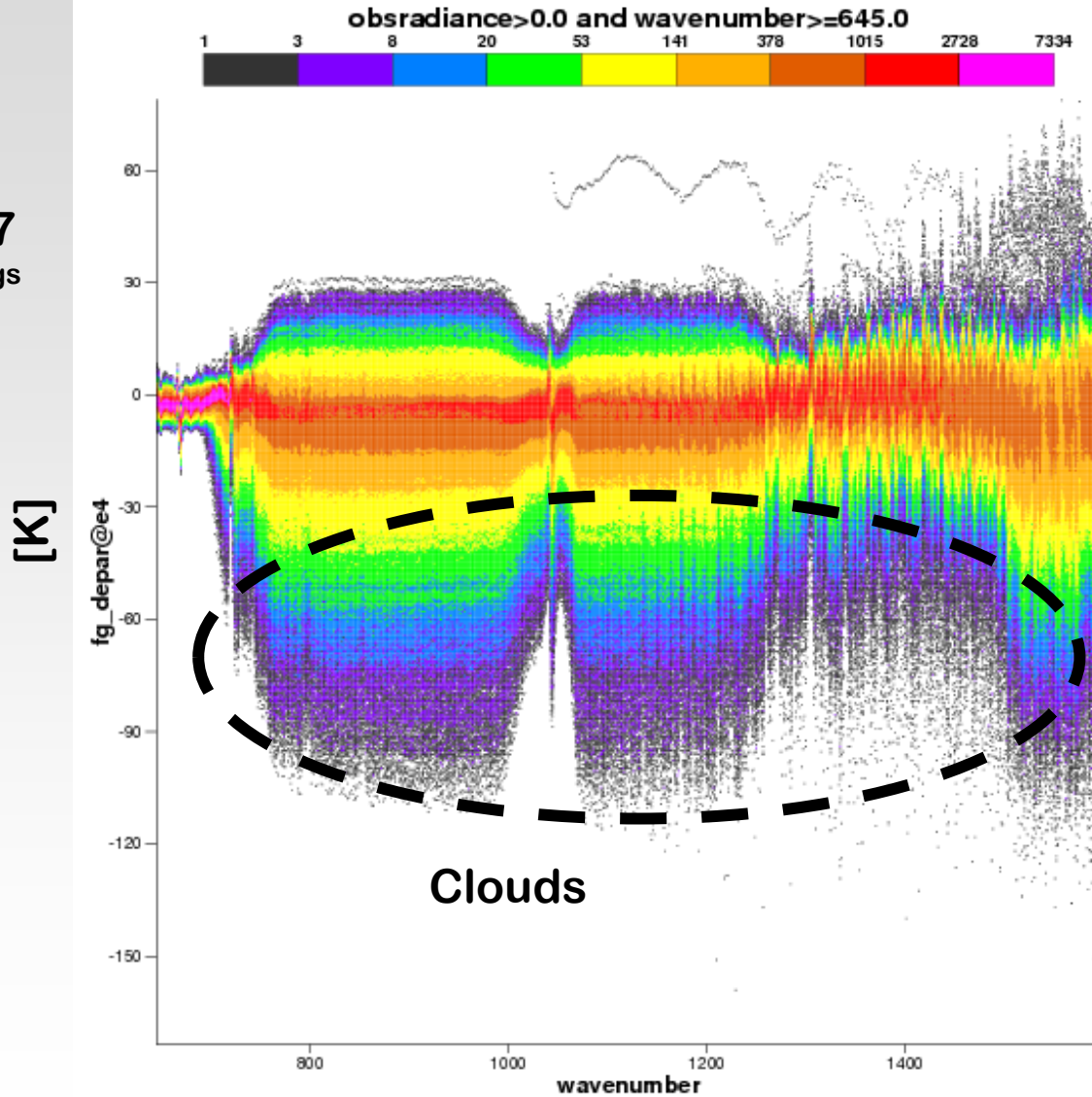
Append departure information

Into the ODB file, as an extra column

Differences IRIS minus ERA-40, for all channels $>645 \text{ cm}^{-1}$

9-30
April
1970

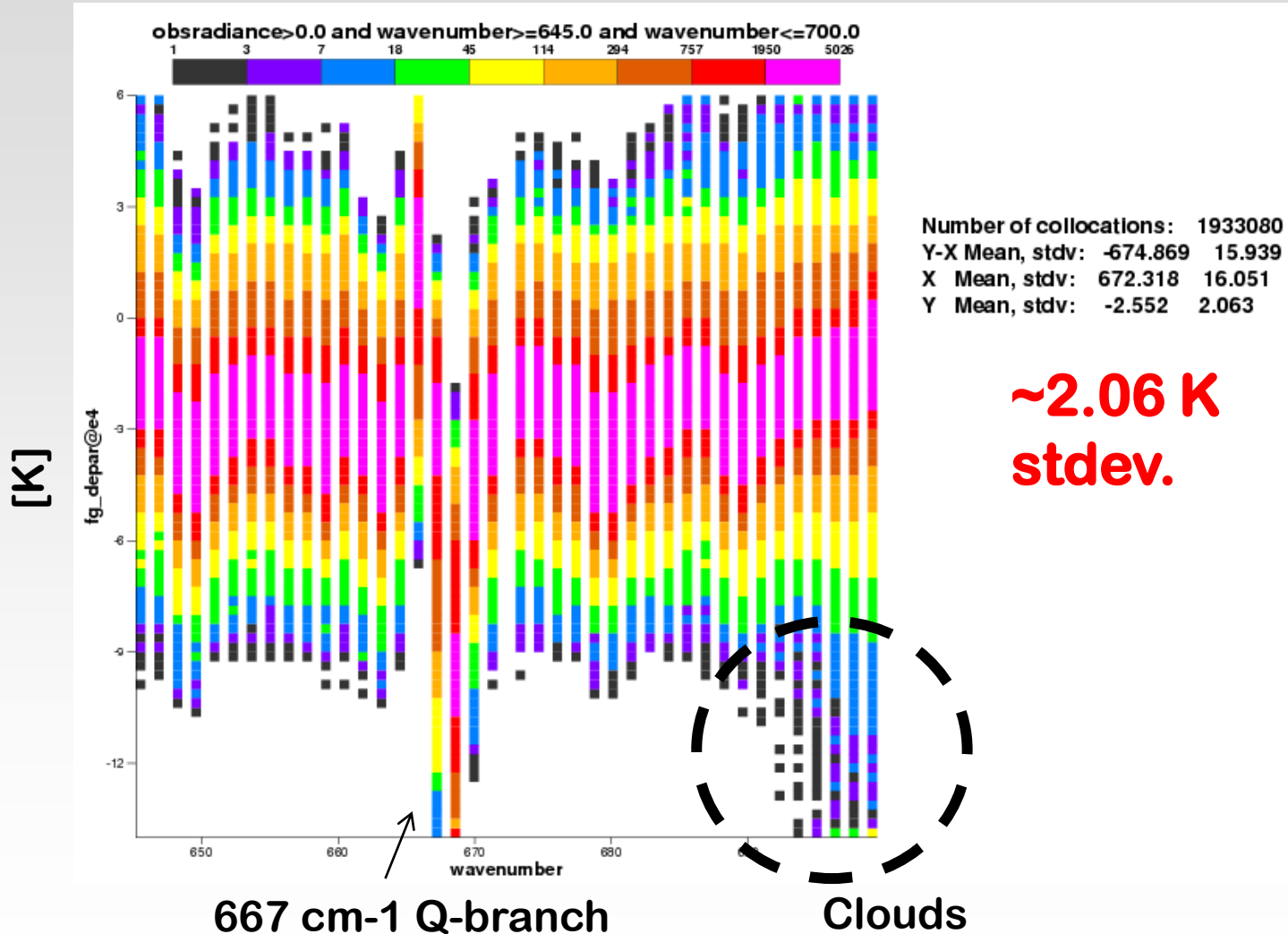
48327
soundings



Number of collocations: 32878263
Y-X Mean, stdv: -1125.250 273.660
X Mean, stdv: 1117.897 273.716
Y Mean, stdv: -7.353 11.887

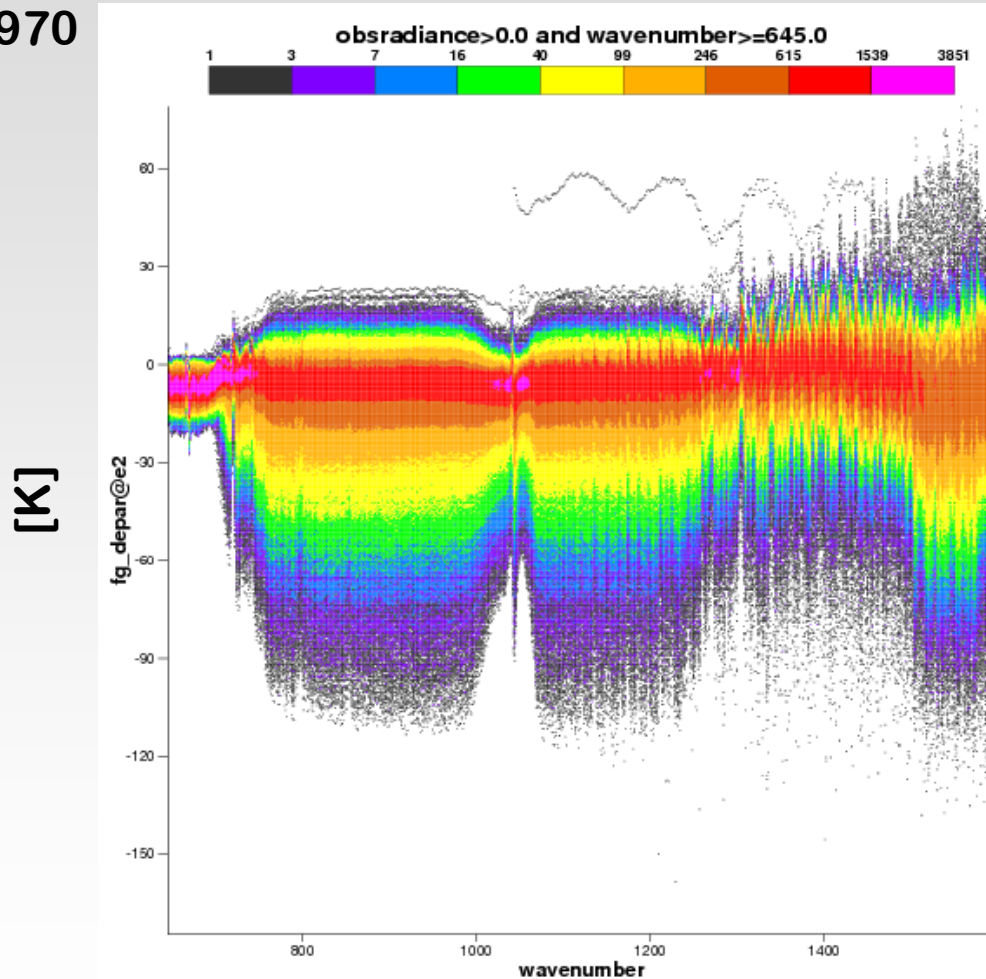
Zoom on upper-peaking channels in 15 μ m CO₂ band

9-30
April
1970



Same as earlier but with 3-hourly ERA-20C fields

9-30
April
1970



Number of collocations: 32878263
Y-X Mean, stdv: -1126.225 273.376
X Mean, stdv: 1117.897 273.716
Y Mean, stdv: -8.328 11.604

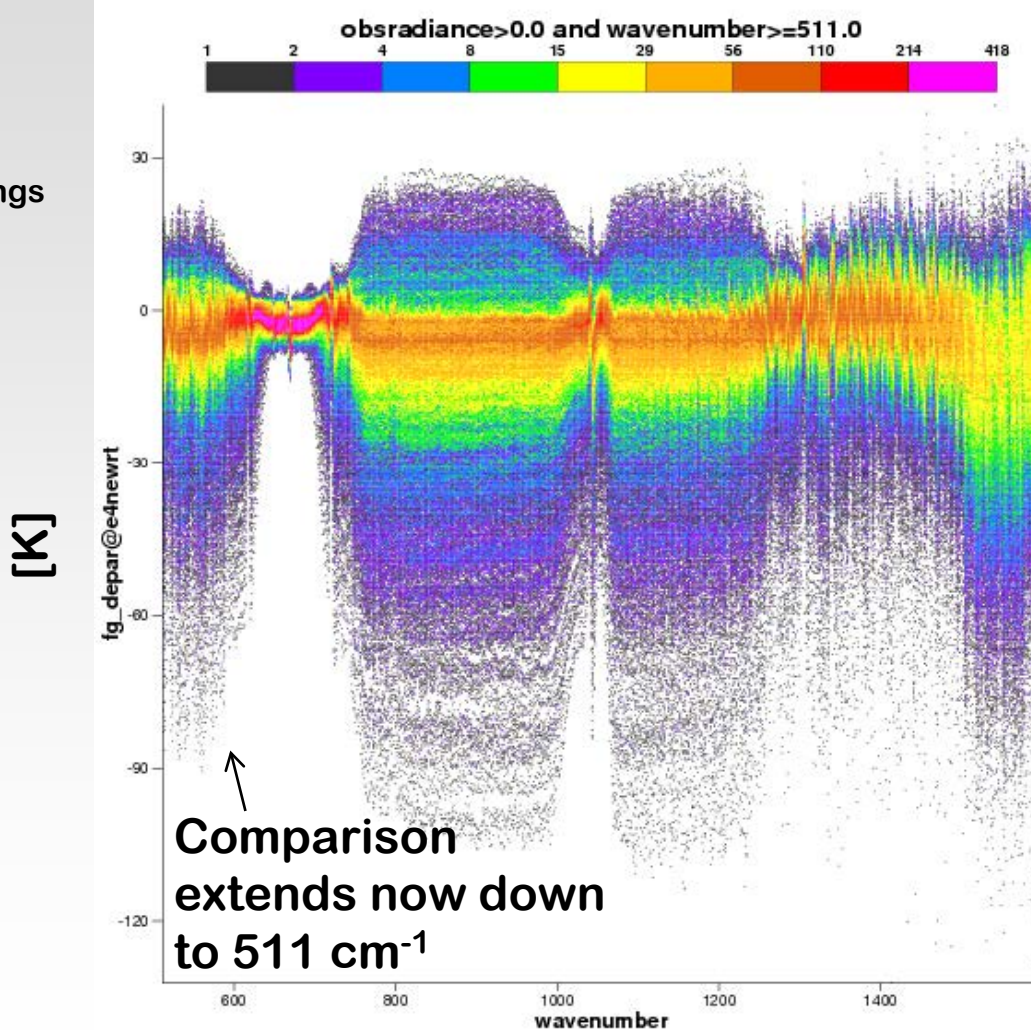
**Clearly larger
departures than
ERA-40.
Not surprising:
ERA-20C did not
assimilate *any*
upper-air
observations**

With RTTOV coefficients computed especially for IRIS

14
April
1970

RTTOV v8 coefficients computed by Pascal Brunel NWP-SAF/Meteo-France, given IRIS wavenumbers, apodisation function, and mirror displacement

4386
soundings



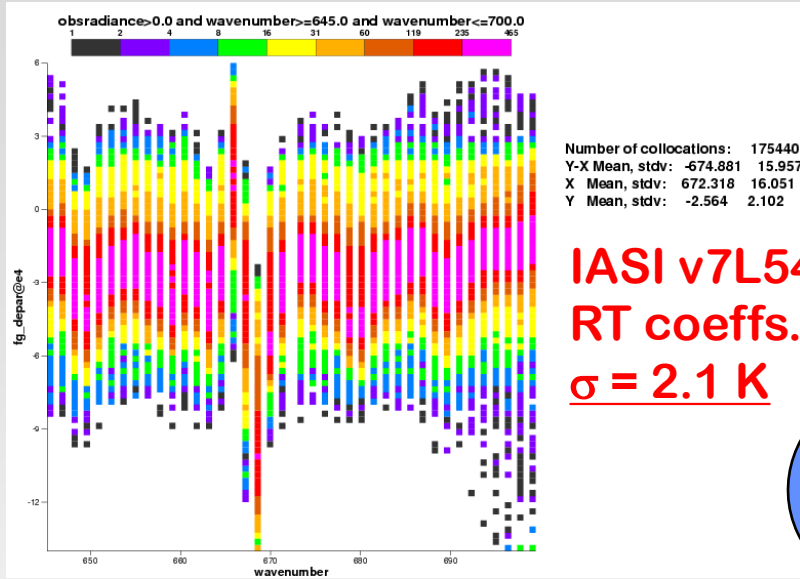
Number of collocations: 3401936
Y-X Mean, stdv: -1057.886 312.654
X Mean, stdv: 1050.660 311.964
Y Mean, stdv: -7.226 11.680

Zoom on the 645-700 cm⁻¹ band

14
April
1970

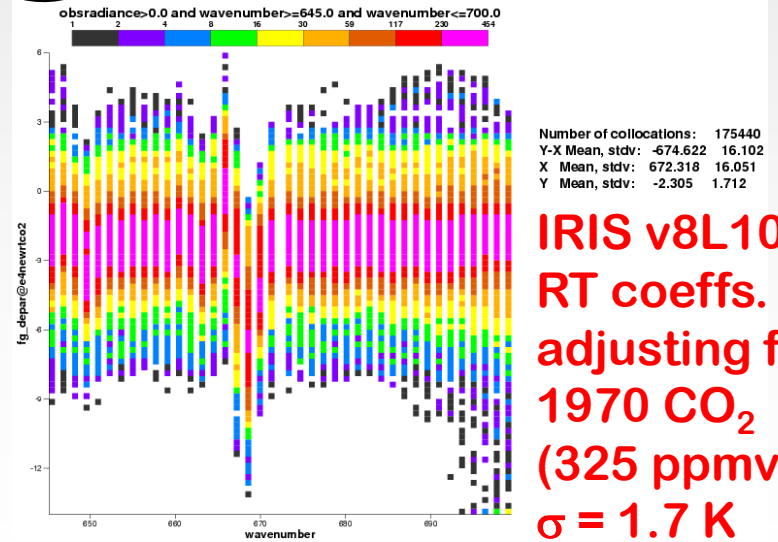
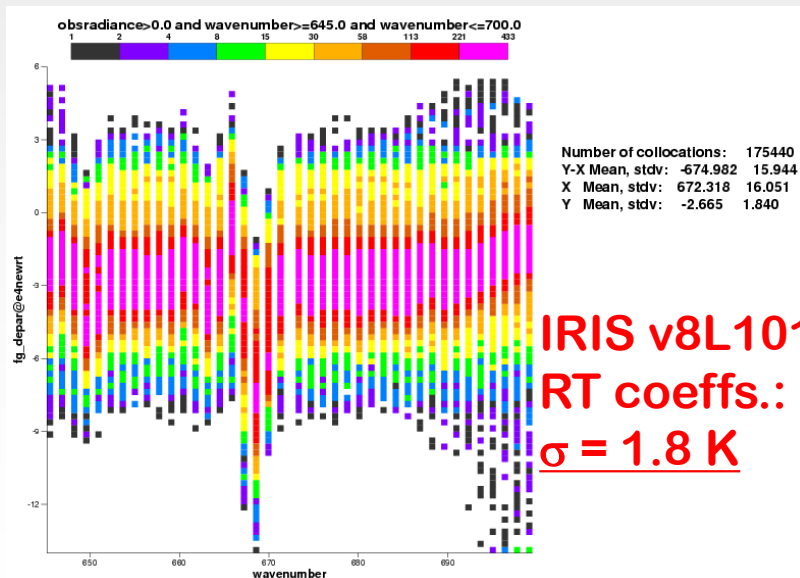
4386
soundings

[K]



Smaller residuals allow for more accurate retrievals & applications. This illustrates how much more information can potentially be extracted from +40-year old satellite data, thanks to ongoing development in (radiative transfer and NWP) models.

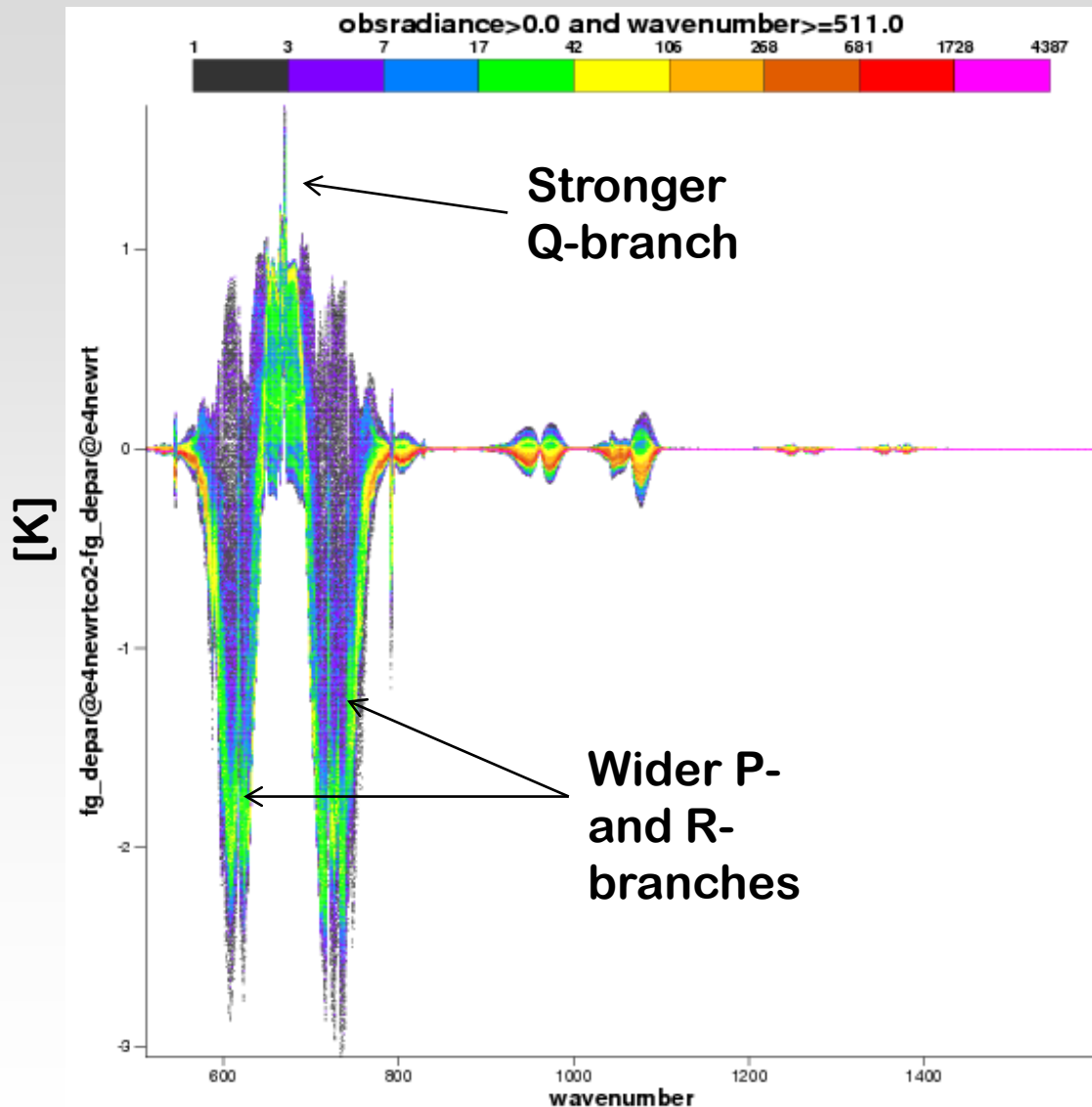
[K]



Impact of CO₂ 325 ppmv in 1970 → 384 ppmv in 1983

14
April
1970

4386
soundings
simulated
with
RTTOV



Nice to be able to see
the P and R branches.
→ Benefits for CO₂
retrievals?

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Conclusions 1/2

ACKNOWLEDGEMENTS

The authors are grateful to C. Kruger and M. Rhodes of Texas Instruments for their invaluable assistance in the development of the IRIS-D instrument and to G. Wolfard of GSFC for his efforts in the area of data reduction. Mr. Milton Sing, GSFC, helped in the preparations of the figures.

*Last page of
NASA TM on
Nimbus-4 IRIS*

- **Data reduction** already an important topic in 1970.
- Reanalyses, lagging behind state-of-the-art NWP in terms of current satellite data usage, use at most
 - 1 or 2, of the current 4 hyperspectral infrared sounders
 - **fewer than 10% of the channels**
- The ‘relatively new’ sensors, and the large amount of data probably explain why hyperspectral data haven’t been used more in current reanalyses.

Conclusions 2/2

- Based on earlier examples, *with much poorer sounders*, we found that the corresponding data still managed to make a huge impact on today's weather and climate reconstruction
- **The promise is much greater with hyperspectral infrared, probing details of spectral regions otherwise unmonitored on a systematic basis, which could in the future tell us about unforeseen changes in constituents and aerosols**
- Overall the potential for climate use hasn't been realized yet
- **Reanalysis can help, making comparisons between observations and model accessible**
- **All original raw data must be preserved, along with meta-data for future users to understand data quality, calibration, and its time evolution**